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TO : EXAMINER JULIE STAPLES
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* MESSAGE *

* Please deliver to Julie Staples IMMEDIATELY.

Third Preliminary Amendment in connection with Philip O. Livingston and Friedhelm Helling, U.S. Serial No. 08/475,784 filed June 7, 1995 for GANGLIOSIDE-KLH CONJUGATE VACCINES PLUS QS-21, including transmittal letter in triplicate and facsimile certificate of transmission dated May 3, 1996.

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Docket N . 43016-C/JPW/AKC

In re application of: Philip O. Livingston and Friedhelm Helling

Serial No.: 08/475,784

Examiner: Julie Staples

Group Art Unit: 1802

Filed: June 7, 1995

For: GANGLIOSIDE-KLH CONJUGATE VACCINES PLUS QS-21

May 3, 1996

HONORABLE ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

S I R:

Transmitted herewith is an amendment to the above-identified application.

Small entity status of this application under 37 C.F.R. §1.9 and §1.27 has been established by a verified statement previously submitted.

a verified statement to establish small entity status under 37 C.F.R. §1.9 and §1.27 is enclosed.

☒ No additional fee is required, other than the \$77.00 for the seven additional claims.

The filing fee is calculated as follows:

| | NUMBER AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | NUMBER OF EXTRA CLAIMS PRESENTED | | RATE | | FEE | |
|---|------------------------|------------------------------------|----------------------------------|---|----------------------|--------------|--------------|--------------|
| | | | | | SMALL ENTITY | OTHER ENTITY | SMALL ENTITY | OTHER ENTITY |
| Total Claims | 29 | 22 | 7 | X | 11 | 22 | 77 | -- |
| Independent Claims | 1 | 1 | 0 | X | 39 | 78 | 0 | -- |
| Multiple Dependent Claims(s) Presented For First Time: Yes <input checked="" type="checkbox"/> No | | | | | 125 | 250 | 77 | -- |
| | | | | | TOTAL ADDITIONAL FEE | | \$ 77 | |

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Philip O. Livingston and Friedhelm Helling
U.S. Serial No. 08/475,784
Amendment Transmittal Letter
Page Two

The "HIGHEST NUMBER PREVIOUSLY PAID FOR" (Total or Independent) is the highest of the "NUMBER AFTER AMENDMENT" in any prior amendment or the number of claims as originally filed.

☒ Please charge Deposit Account No. 03-3125
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☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 03-3125. Three copies of this sheet are enclosed.

☒ Any filing fees under 37 C.F.R. §1.16 for the presentation of extra claims.

☒ Any patent application processing fees under 37 C.F.R. §1.17.

Respectfully submitted,



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